# **TRUST in Data**

Dr. Dawei Lin, Ph.D.

Division of Allergy, Immunology, and Transplantation, NIAID, NIH dawei.lin@nih.gov

Open Data under the COVID-19 pandemic, August 5<sup>th</sup>, 2020

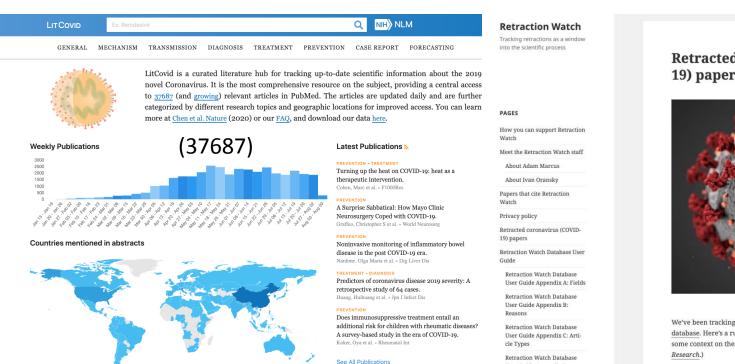
### The Data Ecosystem for Open Science

#### **OPEN Science**

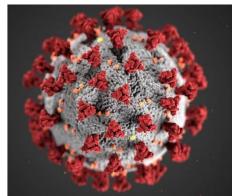
**TRUST** repository

FAIR data

#### **Activities of COVID-19 Research**



# Retracted coronavirus (COVID-19) papers (30)



via CDC

We've been tracking retractions of papers about COVID-19 as part of our database. Here's a running list, which will be updated as needed. (For some context on these figures, see our letter in Accountability in Research.)

Retracted

User Guide Appendix D: Changes



Contents -

News **→** 

Careers -

Journals -

FERNANDEZ



# Two elite medical journals retract coronavirus papers over data integrity questions

By Charles Piller, Kelly Servick | Jun. 4, 2020, 5:30 PM

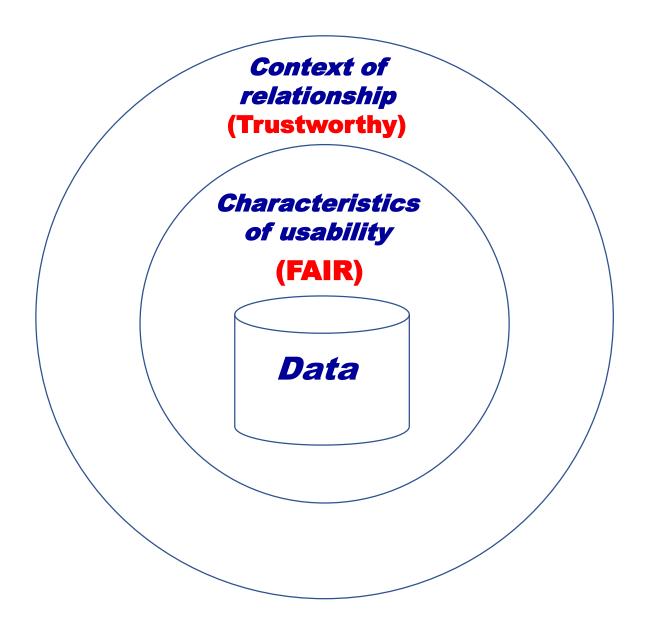


#### Science's COVID-19 reporting is supported by the Pulitzer Center.

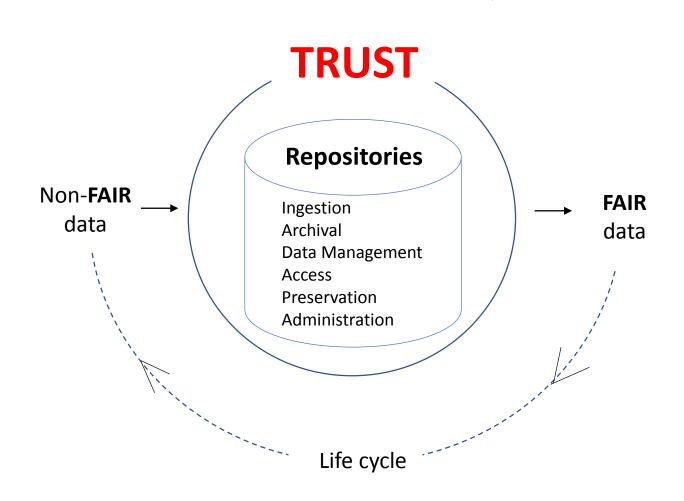
In the first big research scandal of the COVID-19 era, *The Lancet* and *The New England Journal of Medicine (NEJM*) today retracted two high-profile papers after a company declined to make the underlying data for both available for an independent audit, following **questions being raised about the research**. The *Lancet* paper, which claimed an antimalarial drug touted by President Donald Trump for treatment of COVID-19 could cause serious harm without helping patients, had had a global impact, halting trials of one of the drugs by the World Health Organization (WHO) and others.

Three authors on the *Lancet* paper requested the retraction, after initiating an independent review of the raw hospital patient data summarized and provided by Surgisphere, a small Chicago-based company operated by Sapan Desai, the fourth author of the study. Desai had previously said he and his co-authors—cardiologist Mandeep Mehra of Harvard University and Brigham and Women's Hospital, Frank Ruschitzka of University Hospital Zürich, and Amit Patel, an adjunct faculty member at the University of Utah—were getting such an audit of the data, but the agreement apparently fell apart.

### **Layers of Data Properties**

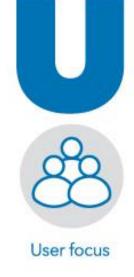


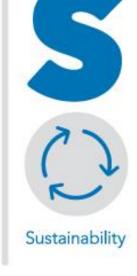
## The Relationship of TRUST and FAIR













#### **The TRUST Principles for Digital Repositories**

Principle	Guidance for repositories
Transparency	To be transparent about specific repository services and data holdings that are verifiable by publicly accessible evidence.
Responsibility	To be responsible for ensuring the authenticity and integrity of data holdings and for the reliability and persistence of its service.
<b>U</b> ser Focus	To ensure that the data management norms and expectations of target user communities are met.
Sustainability	To sustain services and preserve data holdings for the long-term.
Technology	To provide infrastructure and capabilities to support secure, persistent, and reliable services.

https://www.nature.com/articles/s41597-020-0486-7

## **Impact of TRUST Principles**

- ■Transparency is associated with trust of digital repositories Donaldson, et al.
- Defining roles and responsibilities will help facilitate the effective stewardship OAIS and Peng et al.
- ■Users' trust in data is also associated with their trust in the archive from which the content was obtained Yoon et al.
- "Research data repositories are an essential part of the infrastructure for open science..." [and that it] "is important to ensure the sustainability of research data repositories" OECD
- data stewardship is not just about physical and digital security: staff training, standard operating procedures, and the skills and attitudes of staff are also important - Van Staa et al.

### **An RDA Community Effort**

#### **Endorsements**

- 1. 4TU.ResearchData
- 2. American Geophysical Union (AGU)
- 3. The Arctic Data Center
- 4. Carnegie Mellon University Libraries
- Center for International Earth Science Information Network (CIESIN), The Earth Institute, Columbia University, New York, USA.
- 6. Comisión de Investigaciones Cientificas
- 7. CoreTrustSeal
- 8. DataONE
- 9. Data Archive and Network Services (DANS), The Netherlands
- 10. Digital Repository of Ireland
- 11. Dryad
- 12. Dutch Digital Heritage Network
- 13. Figshare
- 14. Finish Social Science Data Archive
- 15. GigaScience
- 16. Knowledge Network for Biocomplexity (KNB)
- 17. National Institute of Allergy and Infectious Diseases, NIH
- 18. Odum Institute UNC-Chapel Hill
- 19. Open Preservation Foundation
- 20. PANGAEA
- 21. Research Data Canada / Données de recherche Canada (see RDC published endorsement)
- 22. Springer Nature
- 23. TIB Leibniz Information Centre for Science and Technology University Library
- 24. Universidad Nacional de La Plata, Argentina
- 25. Virginia Tech University Libraries
- World Data Center for Climate (WDCC), German Climate Computing Center (DKRZ), Hamburg, Germany.
- 27. World Data System





#### 3. Data Sharing in Clinical Medicine

#### 3.1 Focus and Description

Health care measures and clinical research are at the forefront of combating the COVID-19 pandemic. Promotion of clinical data sharing is of utmost importance because many studies and trials are performed under enormous time pressure, with weaknesses in the methodology (e.g. no control) and preliminary results published without any review. Sharing of data, and related documentation (e.g. protocols) will reduce duplication of effort and improve trial design, when many similar studies are being planned or implemented in different countries (Sharing and re-use of individual participant data from clinical trials: principles and recommendations, BMJ Open 2017). Clinical data outside clinical trials (e.g. case studies, descriptive cohorts of patients, etc.) may also be of high value and should be reported.

#### 3.3 Policy Recommendations

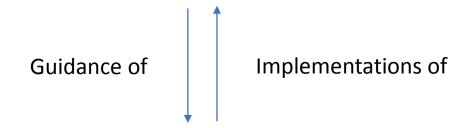
#### 3.3.1 Trustworthy Sources of Clinical Data

During a pandemic like COVID-19, it is important to concentrate efforts on scrutinising reliable data sources that provide data and metadata of high quality and guarantee the authenticity and integrity of the information. The recommendations are:

- Measures should be taken in order to organise the transferral of data and trial documents to a
  suitable and secure data repository to help ensure that the data are properly prepared, available
  in the longer term, stored securely (with respect to access control, confidentiality, and integrity)
  and subject to rigorous governance. Repositories that explicitly support data sharing for COVID19 trials should be announced.
- 2. Trustworthy repositories should be leveraged as a vital resource for providing access to and supporting the depositing of research data. However, as an emerging and evolving area in biomedical domains, trustworthiness assessment should not be limited to certification or accreditation (Consultative Committee for Space Data Systems, 2011; CoreTrustSeal Standards and Certification Board, 2019). A wide range of community-based standardised quality criteria, best practices, and principles (e.g. TRUST Principles (Lin et al., 2020)) should also be considered.
- 3. If analysis environments that allow in situ analysis of datasets are available, but prevent downloads, they should be provided to the end-user researchers in a pandemic situation, without fees if possible.
- 4. Tools allowing different datasets from different repositories to be analysed together on a temporary basis should be provided.

#### One Voice from Digital Repository Community

#### **TRUST Principles**



- TDR certifications standards (CoreTrustSeal, ISO 16363, ..)
- NIH Data Sharing Repository Criteria
- Data Preservation Consortium Criteria
- Elixir Core Data Resource Criteria
- Journal Recommended Repositories

#### **Conclusions**

- ■The TRUST Principles provide a mnemonic to remind data repository stakeholders
- The TRUST Principles, however, are not an end in themselves, rather a means to facilitate communication with all stakeholders, providing repositories with guidance to demonstrate transparency, responsibility, user focus, sustainability, and technology

# **Thank You**

Email: dawei.lin@nih.gov